

12-20-01

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PTO/SB/05 (12/97)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

COMP:0253/van

Total Pages

43

First Named Inventor or Application Identifier

Thomas T. Hardt

Express Mail Label No.

EV 017056675 US

PTO  
10/022003  
12/14/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification *Total Pages 20*  
(preferred arrangement set forth below)
  - Descriptive
  - Cross References to Related Application
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) *Total Sheets 5*  
*Total Pages 15*
4. Oath or Declaration
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[Note Box 5 below]**
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(where there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other **PTO-2038 Credit Card Payment Form**

17. ☐ Continuation☐ Divisional☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME **Robert A. Van Someren**  
**Fletcher, Yoder & Van Someren**

ADDRESS **P.O. Box 692289**

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

J1025 U.S. PTO

10022003 12/14/01

**FEE TRANSMITTAL****Complete if Known**

<b>Application Number</b>	<b>Unassigned</b>
<b>Filing Date</b>	<b>Herewith</b>
<b>First Named Inventor</b>	<b>Thomas T. Hardt</b>
<b>Group Art Unit</b>	<b>Unassigned</b>
<b>Examiner Name</b>	<b>Unassigned</b>
<b>Attorney Docket Number</b>	<b>COMP:0253/VAN</b>

**TOTAL AMOUNT OF PAYMENT (\$)** 780.00**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **06-1315/COMP:0253/VAN**

Deposit Account Name **Fletcher, Yoder & Van Someren**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☒ Payment Enclosed:

☐ Check ☐ Money Order ☒ Other

**PTO-2038****FEE CALCULATION (fees effective 10/01/96)****1. FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	—
106	330	206	165	Design filing fee	—
107	510	207	255	Plant filing fee	—
108	740	208	370	Reissue filing fee	—
114	150	214	75	Provisional filing fee	—
<b>SUBTOTAL (1)</b>					<b>(\$)</b> 740.00

**2. CLAIMS**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	—
102	84	202	42	Independent claims in excess of 3	—
104	280	204	140	Multiple dependent claim	—
109	84	209	42	Reissue independent claims over original patent	—
110	18	210	9	Reissue claims in excess of 20 and over original patent	—
<b>SUBTOTAL (2)</b>					<b>(\$)</b> —

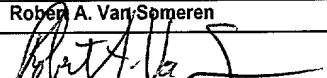
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing or cover sheet	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for reexamination	—
112	920	112	920	Requesting publication of SIR prior to Examiner action	—
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for response within first month	—
116	400	216	200	Extension for response within second month	—
117	950	217	475	Extension for response within third month	—
118	1,570	218	755	Extension for response within fourth month	—
119	310	219	155	Notice of Appeal	—
120	310	220	155	Filing a brief in support of an appeal	—
121	270	221	135	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive unavoidably abandoned application	—
141	1,320	241	660	Petition to revive unintentionally abandoned application	—
142	1,320	242	660	Utility issue fee (or reissue)	—
143	450	243	225	Design issue fee	—
144	670	244	335	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Petitions related to provisional applications	—
126	240	126	240	Submission of Information Disclosure Stmt	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	<b>40.00</b>
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—
Other fee (specify)				—	—
Other fee (specify)				—	—
<b>SUBTOTAL (3)</b>					<b>(\$)</b> 40.00

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name	<b>Robert A. Van Someren</b>	Reg. Number	<b>36,038</b>
Signature		Date	<b>December 14, 2001</b>
		Deposit Act. User ID	<b>06-1315/COMP:0253/VAN</b>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant/Patentee:  
Thomas T. Hardt

Filed: Herewith

Serial No.: Unassigned

For: *TECHNIQUE FOR PROVIDING A  
PIVOT STRUCTURE THAT  
FACILITATES THE RAPID  
FORMATION OF PIVOT COUPLINGS  
BETWEEN COMPONENTS*

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§  
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§

Attorney File No.: COMP:0253  
P00-3364

Under the provisions of 37 C.F.R. § 3.71, the undersigned assignee of record of the entire interest in the above-identified patent/patent application by virtue of an assignment recorded (check as applicable):

<input checked="checked" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Concurrently Herewith  
Date Recorded  
Reel \_\_\_\_\_ Frame \_\_\_\_\_

I elect to conduct the prosecution of the application/maintenance of the patent to the exclusion of the inventor(s). The undersigned hereby declares that he has reviewed the above-referenced assignment and hereby declares that, to the best of his knowledge, title is in the Assignee, and further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true. The assignee hereby revokes any previous powers of attorney and appoints the following to prosecute this application/maintain this patent and transact all business in the Patent and Trademark Office connected therewith:

Michael G. Fletcher	32,777
Patrick S. Yoder	37,479
Robert A. Van Someren	36,038
Ralph A. Graham	47,607
Robert A. Manware	48,758
Tait R. Swanson	P48,226

Irene Kosturakis	33,724
Joseph Arrambide	39,589
Sarah T. Harris	35,891
Richard P. Lange	27,296
Theodore S. Park	26,971
Diane C. Drozenski	39,177
Laura Turley	35,850

Please direct all communications to: Fletcher, Yoder & Van Someren, P.O. Box 692289, Houston, Texas 77269-2289, (281) 970-4545, to the attention of: Robert A. Van Someren

## ASSIGNEE

COMPAQ INFORMATION TECHNOLOGIES GROUP, L.P.

Date:

14 Dec 2001

BY:

*M Barboza*

NAME: Marcella Barboza  
TITLE: Legal Assistant - Patent Acquisition

Marcella Barboza  
Legal Assistant - Patent Acquisition  
Authorized To Sign This Document On Behalf Of  
Compaq Information Technologies Group, L.P.  
Pursuant To Board Of Directors Resolution  
Date July 28, 1989